



FFY 2023-2025 DISADVANTAGED BUSINESS ENTERPRISE GOAL AND GOAL SETTING METHODOLOGY

AVAILABLE FOR PUBLIC REVIEW/COMMENT: JULY 1, 2022 – JULY 31, 2022

**SUBMITTED TO U.S. DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT
ADMINISTRATION: AUGUST 1, 2022**

Revised and resubmitted: December 6, 2022.

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EXECUTIVE SUMMARY

The San Joaquin Regional Rail Commission (“Rail Commission”) has completed its analysis for the annual overall Disadvantaged Business Enterprise (“DBE”) goal applicable to the Rail Commission’s contracting opportunities funded in whole or in part by the Federal Transit Administration (“FTA”) in Federal Fiscal Year (“FFY”) 2023-2025.

The annual overall DBE goal represents the percentage of DBE firms who are ready, willing, and able to participate in the Rail Commission’s FTA-assisted contracting opportunities each fiscal year. The goal is expressed as a percentage of all FTA funds the Rail Commission anticipates expending in the fiscal year.

The Rail Commission has chosen the following methodology to establish the annual overall goal in accordance with the Department of Transportation (“DOT”) federal regulations governing the DBE program and recent guidance from DOT.

STEP 1.

The Rail Commission determined a base figure for the relative availability of DBEs in the specific areas of expertise involved in the Rail Commission’s FTA-assisted contracting opportunities for FFY 2023-2025 by using the Caltrans Unified Certification Program (“CUCP”) database of DBE’s, the most recent national U.S. Census Bureau’s County Business Pattern database for the State of California (“CBP”) and then weighting each area of expertise according to the amount of FTA assistance that is projected to be spent in the area.

STEP 2.

The Rail Commission examined other evidence regarding DBE availability for the Rail Commission’s geographic and contracting market, past participation, and contracting opportunities to determine if any adjustments to the base figure were needed in order to arrive at a narrowly tailored annual overall goal.

Based upon this analysis, the Rail Commission proposes an **annual overall DBE race-neutral goal of 4.93% for FFY 2023-2025 for FTA-assisted contracts.**

I. FTA-ASSISTED CONTRACTING OPPORTUNITIES

The Rail Commission has identified and analyzed the FTA-assisted contracting opportunities for FFY 2023-2025 by federal dollar amounts and the North American Industry Classification Codes (NAICS) for the relevant types of work.

In Fiscal Years 2023-2025 The Rail Commission anticipates federal funding involvement on the following projects:

FTA Assisted Capital Projects	Est. Project Cost – Federal Portion Only
1. Capital Lease Program	\$9,727,548
2. Locomotive Purchase - Options	\$7,500,000
3. The Rail Academy of Central California (TRACC)	\$1,600,000
4. Manteca Station	\$1,516,000
5. Positive Train Control	\$1,681,304
6. Preventative Maintenance – including Capital Spares	\$15,000,000
7. Railcar Midlife Overhaul	\$15,000,000
8. Capitalized Maintenance	\$12,000,000
9. Robert J. Cabral Station Expansion	\$4,000,000
10. Track Extension from Cabral to RMF	\$2,600,000
11. Tracy ACE Station Improvements	\$1,343,750
TOTAL	\$71,968,602

1. Capital Lease Program

The Commission entered into a trackage agreement on August 11, 1997, with Union Pacific Railroad (Railroad) to secure the trackage rights from Stockton to San Jose for the initial commitment period of the ACE Service at a cost not to exceed \$15,143,000 for capital improvements. The trackage rights agreement with the Railroad granted the Commission trackage rights for a period of five years beginning on the service commencement date.

2. Locomotive Purchase

The locomotive purchase is for two (2) options Tier IV Siemens Charger locomotives. This purchase is an option on an existing contract The Rail Commission has with Siemens for the purchase of Tier IV locomotives. These two (2) new locomotives are to replace two (2) existing Tier 0 ACE locomotives currently in operation. The locomotives are scheduled to be delivered in late 2023.

3. TRACC

The Rail Academy of Central California (TRACC) will address the challenges of an aging, highly skilled workforce by creating opportunities for high-paying, skilled jobs for the

community, focusing on the historically disadvantaged members. TRACC will operate as a strategic partnership between Sacramento City College, Stockton Unified School District, and several transportation entities to prepare students for a career as a Conductor or Engineer.

4. Manteca Transit Station

The ACE Manteca Station will have a center loaded platform that is accessed via a tunnel from the parking lot. Standard passenger amenities and design details will be included consistent with the Station Design Guidelines and future Station Design Criteria. Some features will be unique to the ACE Manteca Station in order to match and blend into the existing Manteca Transit Center. The goal is to construct a station that is seamlessly integrated with the existing Manteca Transit Center in access, circulation and the overall passenger experience. The parking lot portion of the project will include a vehicle parking, a passenger drop-off/ride share area, bike storage and other multimodal features. Passengers arriving/departing by bus will access the bus transit platform to the north of the parking lot at the Manteca Transit Center.

5. Positive Train Control

Positive Train Control (PTC) (which automatically slows or stops the trains) continues to be a major initiative for the ACE service. The ACE PTC system was certified prior to the December 2020 deadline for full interoperability of all railroads in the country. Now, the Rail Industry is working to ensure all railroads are up to date with on-board and back-office software. The Rail Safety Improvement Act of 2008 (RSIA) requires extensive coordination of the ongoing software compatibility. Staff continues to work closely with Union Pacific Railroad (UPRR), Caltrain and the Rail Industry on software updates and improvements that ensure compliance and enhance the efficiency and reliability of the PTC system.

6. Preventive Maintenance

As part of the Rail Commission's commitment to maintaining assets in a state of good repair, improve reliability and extend the useful life of the assets, the Rail Commission's Contractor Maintainer has a very aggressive preventative maintenance program. Program and trend analytics is one of the tools used to identify potential component failure as well as components that are out living OEM useful life. All preventative work is completed by the Contract Maintainer. This includes Capital Spares purchases.

7. Railcar Midlife Overhaul

As part of the Rail Commission's commitment to maintaining assets in a State of Good Repair, work is underway to overhaul 30 ACE railcars. The Rail Commission's Transit Asset Management Plan (TAMP) identified midlife overhauls as a key initiative to ensure ACE's revenue fleet operates at maximum performance. The Project includes new seats, flooring, updated exterior paint scheme, and onboard information system including digital audio and LCD screens. These overhaul projects will improve passenger experience and reduce maintenance. This is a multi-year project started in FY19/20 and is scheduled to be completed in FY23/24.

8. Capitalized Maintenance

Track Safety Capital Projects. Track Maintenance ROW. The Rail Commission commits up to one million dollars per year per train per year (approx. \$4 million) to a capitalized maintenance fund for track improvements. Track improvement projects require executed contracts between UPRR and the Commission and are anticipated to be funded with a combination of Federal, State, and local grants. These projects include track maintenance and safety Capital Projects within the railroad right of way.

9. Robert J. Cabral Station Expansion

Construct park and ride lot and related on-street parking, sidewalks, lighting, security, and other passenger amenity improvements at the old Western Pacific Depot, near Robert J. Cabral Station in Stockton. Including a new building at the old WP location.

10. Track Extension from Cabral to RMF

This fully funded project will provide the final track extension between the Cabral Station and the Rail Maintenance Facility (RMF). Coordination with Union Pacific and the City of Stockton is ongoing, with final designs currently under review. Construction is anticipated to begin in Fall 2022

11. Tracy ACE Station Improvements

Based upon the ridership of the Tracy station, improvements and upgrades are needed for driveway access at Tracy Blvd., parking capacity, and improved circulation. Construction is anticipated to begin in Fall 2022.

Additional Factors

Due to operating on the Union Pacific Railroad (UPRR) and Burlington Northern and Santa Fe Railway (BNSF) owned lines, the Rail Commission is limited on bid opportunities as UPRR, and BNSF require all work done on or in conjunction with their lines be completed or reviewed by their workforce. Therefore, the Capital Lease Program and parts of the other projects on the railway were excluded from the DBE goal setting process. Furthermore, Herzog Transit Services, Inc. (Herzog), the Rail Commission's Contracted Operator and Maintainer, performs much of the Preventative Maintenance and Positive Train Control work and is anticipated to conduct the Railcar Midlife Overhaul in house. As a result, Railcar Midlife Overhaul, Preventative Maintenance, and Positive Train Control have been excluded from the DBE goal setting process.

The Rail Commission completed a non-federalized joint procurement process for the purchase of new passenger rail cars, with proposals submitted by non-DBE firms. Additionally, a contract for the purchase of four (4) new Tier IV Locomotives with option locomotives in the contract was awarded to Siemens in 2018, in December 2019 an option was exercised for the purchase of two (2) additional Locomotive. As a result, the Locomotive Purchase has been excluded from the DBE goal setting process.

The Rail Commission will work with vendors on potential subcontracted DBE participation for parts procurement, when possible, for those projects listed above. This leaves TRACC, the Manteca Station, Robert J. Cabral Station Expansion, Track Extension from Cabral to RMF, and the Tracy ACE Station Improvements projects.

Allocated FTA Dollars by NAICS Code

During Federal Fiscal Year 2023-2025, the Rail Commission anticipates having an estimated \$71,968,602 million in FTA funds available for expenditure. Of the total amount of FTA assistance available, the Rail Commission anticipates awarding \$11,653,750, or 16.20% (see Table 1) for the three projects outlined above in FFY 2023-2025. The Rail Commission has determined that the FTA-assisted contracting opportunities will involve work in (42) 2022 NAICS codes, which have been grouped into major three-digit NAICS classifications in Table 2.

Table 1			
NAICS Code	Industry Title	Total Estimated Cost (Federal Share)	% of Federal Funding
23	Construction	\$9,290,189	12.91%
33	Manufacturing	\$594,000	.83%
42	Wholesale Trade	\$331,793	.46%
48 - 49	Transportation and Warehousing	\$221,195	.31%
53	Real Estate Rental and Leasing	\$110,598	.15%
54	Professional, Scientific, and Technical Services	\$1,105,975	1.54%
	Total Allocated FTA Dollars by NAICS Code	\$11,653,750	
	Total FTA Funds	\$71,968,602	
	% of Allocated FTA Funds		16.20%

II. GOAL METHODOLOGY

1. Determining a Base Figure

The base figure was developed to express the relative availability of DBEs within the Rail Commission market area in the specific areas of expertise involved in the proposed FTA-assisted contracting opportunities. For this purpose, the Rail Commission has opted to include all of California as the Market Area in setting the DBE goal. Due to the size of the agency and the cost of the projects involved, it is unlikely that an out of state contractor will be submitting proposals.

Determining DBE availability was done by comparing data from the CUCP DBE database (comprised of the Caltrans maintained CUCP DBE database) and the U.S. Census Bureau's County Business Pattern (CBP) database. For each NAICS code, CBP data from the State of California was used to determine the total number of businesses. The total number of DBEs for each NAICS code was determined by using the most closely matched 2022 NAICS codes from the CUCP DBE database. The total number of DBEs was compared to the total number of all firms to show the percentage of DBEs available in each area of expertise. **(Table 2)**

$$\text{Base Figure} = \frac{\text{Number of DBEs registered in specialized category}}{\text{Number of all CBP firms by specialized category}}$$

Table 2**% of DBE Availability by NAICS**

NAICS	Description	DBE	CBP	Availability
236210	Industrial Building Construction	131	335	39%
236220	Commercial & Institutional Building Construction	255	4281	6%
237110	Water & Sewer Line & Related Structures Construction	156	843	19%
237120	Oil & Gas Pipeline & Related Structures Construction	29	104	28%
237130	Power & Communication Line & Related Structures Construction	60	474	13%
237210	Land Subdivision	25	603	4%
237310	Highway, Street, & Bridge Construction	339	759	45%
237990	Other Heavy & Civil Engineering Construction	206	336	61%
238110	Poured Concrete Foundation & Structure Contractors	169	1926	9%
238120	Structural Steel & Precast Concrete Contractors	102	482	21%
238130	Framing Contractors	39	901	4%
238140	Masonry Contractors	37	1303	3%
238150	Glass & Glazing Contractors	18	878	2%
238160	Roofing Contractors	18	2517	1%
238170	Siding Contractors	7	276	3%
238190	Other Foundation, Structure & Building Exterior Contractors	74	691	11%
238210	Electrical Contractors & Other Wiring Installation Contractors	207	9233	2%
238220	Plumbing, Heating, & Air-Conditioning Contractors	69	10716	1%
238290	Other Building Equipment Contractors	27	737	4%
238310	Drywall & Insulation Contractors	40	2274	2%
238320	Painting & Wall Covering Contractors	63	4561	1%
238330	Flooring Contractors	16	2053	1%
238340	Tile & Terrazzo Contractors	18	1672	1%
238350	Finish Carpentry Contractors	36	2779	1%
238390	Other Building Finishing Contractors	31	908	3%
238910	Site Preparation Contractors	217	2623	8%
238990	All Other Specialty Trade Contractors	266	3284	8%
339950	Sign Manufacturing	21	577	4%
423320	Brick, Stone, & Related Construction Material Merchant Wholesalers	47	328	14%
423390	Other Construction Material Merchant Wholesalers	32	410	8%

423510	Metal Service Centers & Other Metal Merchant Wholesalers	30	1043	3%
423610	Electrical Apparatus and Equipment, Wiring Supplies, and Related Equipment Merchant Wholesalers	75	1831	4%
424950	Paint, Varnish, & Supplies Merchant Wholesalers	8	221	4%
488210	Support Activities for Rail Transportation	20	6127	0%
484110	General Freight Trucking, Local	232	4655	5%
484121	General Freight Trucking Long-Distance Truckload	53	669	8%
484122	General Freight Trucking Long-Distance Less than Truckload	22	101	22%
493190	Other Warehousing & Storage	1	301	0%
532490	Other Commercial & Industrial Machinery & Requirement Rental & Leasing	21	1434	1%
541310	Architectural Services	120	3527	3%
541330	Engineering Services	564	8893	6%
541620	Environmental Consulting	435	1572	28%
	Totals	4336	89238	5%

2. Adjusting the Base Figure

Key factors considered when adjusting the Base Figure were as follows:

a. Market Area:

In years past the Rail Commission limited the market area for DBE firms to within a 100-mile radius of the proposed project locations. With the list of available DBE firms already slim, the Rail Commission has opted to not eliminate any firms regardless of location or likelihood to bid, instead the entire state of California is included.

b. Past Participation:

Over the past three (3) years, the Rail Commission has maintained and/or awarded nine (9) prime contracts utilizing FTA funds. Of those prime contracts, five (5) had DBE participation, and one (1) was awarded to a DBE as the prime contractor. Weighing the participation percentage based on number of previously awarded is difficult as the projects identified in this program are different from the prior contracts. Additionally, the three (3) year period were during the COVID-19 pandemic which delayed projects and limited available vendors.

Below is a chart outlining DBE participation in FTA awarded contracts awarded by the Rail Commission withing the last three (3) Federal Fiscal Years:

Fiscal Year	FTA DBE Participation
FFY 2019	20.84%
FFY 2020	4.92%
FFY 2021	17.04%
Average Participation	14.27%

In reviewing past participation:

- The 2019 increase in DBE percentage was due to awarding to a DBE prime and paying more DBEs during that period.
- The 2020 decrease in DBE percentage was due to CARES funding being used for operating costs which did not include many DBEs.
- The 2021 increase in DBE percentage was due to awarding contracts for the Track Extension from Cabral to RMF and Tracy ACE Station Improvements projects.

While an analysis of the 2020-2022 Methodology was completed, the results were not relied on in the goal setting process. due to the following matters: the impact of the COVID-19 pandemic, Union Pacific Railroad's (UPRR) continuance of contractually required projects that were included.

c. Project List:

As outlined in section I, four (4) of the proposed projects scheduled for DOT assistance in the upcoming triennial period are reasonably expected to receive DBE participation. These projects are the Manteca Station, Robert J. Cabral Station Expansion, Track Extension from Cabral to RMF and Tracy ACE Station Improvements projects. Past projects that are in progress are anticipated to be completed within this current three (3) year period. Therefore, a historic median of the past projects was not used to calculate the new goal

d. Bid opportunities:

Operating along the Union Pacific Railroad lines limits bid opportunities on the Rail Commission sponsored projects as UPRR requires all work done on or in conjunction with their lines be completed by their workforce. The largest project being proposed for the upcoming cycle is the Stockton Track Extension and falls directly under this restriction. However, there remains some opportunities for construction management and subcontractor work for the Stockton Track Extension project, as well as the Manteca Station, Robert J. Cabral Station Expansion and Tracy ACE Station Improvements projects.

e. Public Participation:

On July 20, 2022 the Rail Commission will host a DBE Community Engagement meeting to which invites were extended to Chambers of Commerce, local DBEs as identified on the State Database and Small Business Development Centers ranging from Sacramento to the Silicon Valley and Oakland to be distributed to their participants. At this meeting, the Rail Commission had the current proposed DBE Goal Setting Methodology available for review as well as project descriptions for proposed upcoming projects. Rail Commission staff from the procurement, management, and project development teams, including bi-lingual staff were available to engage the public and answer questions. See **Attachment A** for the public notice. The attendance for this meeting included approximately ten (10) in person and forty-six (46) virtual attendees.

The Rail Commission requested the public review and comment on the draft methodology by placing public notices in the lobby of the Rail Commission Offices, located at 949 E. Channel St. Stockton, CA 95202, posted on the official website, released to local chambers, sent to local businesses, and posted on social media pages. See **Attachment B** for the public notice.

f. Weighted Percentage:

The Rail Commission adjusted the amount of FTA-assisted contracting opportunities available to DBEs based on the percentage of FTA funds projected to be used for specific NAICS categories, including Civil and Heavy Construction, Transportation and Warehousing, and Special Services, in completing the Manteca Station, Robert J. Cabral Station Expansion, Track Extension from Cabral to RMF, Tracy ACE Station Improvements projects. (**Table 3**)

With the list of available DBE firms already slim, the Rail Commission did not eliminate any firms regardless of location or likelihood to bid. Instead, the available DBE firms in each category were multiplied by the percentage of DOT funds allocated toward the related project, giving a total weighted DBE percentage of 4.93%. (**Table 3**)

$$\text{Weighted Base Figure} = \frac{\text{Number of DBEs registered in specialized category}}{\text{Number of all CBP firms by specialized category}} \times \text{weight} \times 100$$

In evaluating the two main components: the Base Figure and the Weighted DBE Availability, the weighted DBE Availability of 4.93% will be used as the DBE goal.

Table 3***DBE Availability by % of FTA Dollars***

NAICS	Description	DBE	CBP	Availability	% of Total FTA Dollars	Weighted DBE %
23	Construction					
236210	Industrial Building Construction	131	335	39%		
236220	Commercial & Institutional Building Construction	255	4281	6%		
237110	Water & Sewer Line & Related Structures Construction	156	843	19%		
237120	Oil & Gas Pipeline & Related Structures Construction	29	104	28%		
237130	Power & Communication Line & Related Structures Construction	60	474	13%		
237210	Land Subdivision	25	603	4%		
237310	Highway, Street, & Bridge Construction	339	759	45%		
237990	Other Heavy & Civil Engineering Construction	206	336	61%		
238110	Poured Concrete Foundation & Structure Contractors	169	1926	9%		
238120	Structural Steel & Precast Concrete Contractors	102	482	21%		
238130	Framing Contractors	39	901	4%		
238140	Masonry Contractors	37	1303	3%		
238150	Glass & Glazing Contractors	18	878	2%		
238160	Roofing Contractors	18	2517	1%		
238170	Siding Contractors	7	276	3%		
238190	Other Foundation, Structure & Building Exterior Contractors	74	691	11%		
238210	Electrical Contractors & Other Wiring Installation Contractors	207	9233	2%		
238220	Plumbing, Heating, & Air-Conditioning Contractors	69	10716	1%		
238290	Other Building Equipment Contractors	27	737	4%		
238310	Drywall & Insulation Contractors	40	2274	2%		
238320	Painting & Wall Covering Contractors	63	4561	1%		
238330	Flooring Contractors	16	2053	1%		
238340	Tile & Terrazzo Contractors	18	1672	1%		
238350	Finish Carpentry Contractors	36	2779	1%		
238390	Other Building Finishing Contractors	31	908	3%		
238910	Site Preparation Contractors	217	2623	8%		
238990	All Other Specialty Trade Contractors	266	3284	8%		
		2655	57549	5%	80%	3.7%



33	Manufacturing					
339950	Sign Manufacturing	21	577	4%		
		21	577	4%	5%	0.18%
42	Wholesale Trade					
423320	Brick, Stone, & Related Construction Material Merchant Wholesalers	47	328	14%		
423390	Other Construction Material Merchant Wholesalers	32	410	8%		
423510	Metal Service Centers & Other Metal Merchant Wholesalers	30	1043	3%		
423610	Electrical Apparatus and Equipment, Wiring Supplies, and Related Equipment Merchant Wholesalers	75	1831	4%		
424950	Paint, Varnish, & Supplies Merchant Wholesalers	8	221	4%		
		213	4410	5%	3%	.15%
48-49	Transportation and Warehousing					
488210	Support Activities for Rail Transportation	20	6127	0%		
484110	General Freight Trucking, Local	232	4655	5%		
484121	General Freight Trucking Long-Distance Truckload	53	669	8%		
484122	General Freight Trucking Long-Distance Less than Truckload	22	101	22%		
493190	Other Warehousing & Storage	1	301	0%		
		328	11853	3%	2%	0.05%
53	Real Estate Rental and Leasing					
532490	Other Commercial & Industrial Machinery & Requirement Rental & Leasing	21	1434	1%		
		21	1434	1%	1%	0.15%
54	Professional, Scientific, and Technical Services					
541310	Architectural Services	120	3527	3%		
541330	Engineering Services	564	8893	6%		
541620	Environmental Consulting	435	1572	28%		
		1119	13992	8%	9%	0.072%
	Totals	4336	89238	5%	100%	4.93%

III. PROPOSED OVERALL DBE GOAL

Taking all the information into consideration and weighing heavily on the DOT assisted contract opportunities anticipated to be available in this triennial period, Rail Commission proposes to use the weighted DBE Availability figure and set an annual overall DBE goal of 4.93% for FFY 2023-2025 for FTA-assisted contracts.

As part of the prescribed goal-setting methodology, Rail Commission must project the percentage of its Proposed Overall DBE Goal that can be met utilizing race-neutral and race-conscious measures. Because of the emphasis placed on race-neutral methods and the types of contracting opportunities, Rail Commission proposes that the FFY 2023-2025 goal will be met by race-neutral measures.

IV. RACE NEUTRAL MEANS TO ACHIEVE THE DBE ANNUAL OVERALL GOAL

The Rail Commission plans to continue or implement the following race neutral measures to maximize bidding and contracting opportunities to DBE and Small Business firms:

- A. Publicly announce all bid opportunities
- B. Invite DBE firms to bid based on past participation
- C. Unbundling large contracts to make them more accessible
- D. Encouraging prime contractors to subcontract portions of the work
- E. Participate in outreach and communications programs

In years past the Rail Commission has had a difficult time meeting DBE goals on a consistent year to year basis because contract opportunities tend to be very restrictive as described in Section I. The COVID -19 Pandemic also affected contract opportunities and delayed projects.

The Rail Commission will carefully monitor its progress during the course of the year and may establish appropriate contract-specific goals if race-neutral methods do not appear sufficient to achieve the overall annual DBE participation for FFY 2023-2025. At such time Rail Commission will consult local disparity studies to assist in the new determination.

V. PUBLIC PARTICIPATION

1. Consultation

Due to the COVID-19 pandemic, in-person outreach events were not done in 2020, 2021, and early 2022. As a small agency qualified under the provisions in FTA Circular 4702.1B Section IV the Rail Commission is not required to develop a formal Public Participation Plan and is instead represented by the San Joaquin Council of Governments.

The Rail Commission hosted a community outreach event on July 20, 2022 to establish contacts and relationships with the DBE community, share a bit of who Rail Commission is and the contract opportunities coming available in the next few years. No comments were collected on the proposed goals set out for the prior period of FFY 2020–2022. In attendance were small businesses, staffing agencies, and chamber representatives. No comments were received on the Goal Methodology, however there were questions on how to become DBE certified and how to do business with the Rail Commission. Rail Commission staff provided the presentation and additional materials to all that attended.

Please see **Attachment D** for complete sign in sheet and presentation. No changes were made to the methodology since there were no comments received.

2. Publication of Proposed Goal

The proposed FFY 2023-2025 DBE goal was made available to the public on the official website at <https://www.sjrrc.com/civil-rights/>. **Attachment C** documents the location of the goals on the website.

ATTACHMENT A



SAN JOAQUIN
REGIONAL
RAIL COMMISSION



San Joaquin
Joint Powers Authority

ARE YOU A WOMAN OR MINORITY-OWNED SMALL BUSINESS?

ATTEND A DISADVANTAGED BUSINESS
ENTERPRISE OUTREACH EVENT



WEDNESDAY, JULY 20, 2022
5:00pm - 6:00pm

IN PERSON

ROBERT J. CABRAL STATION
BOARD ROOM
949 EAST CHANNEL STREET, STOCKTON

VIRTUAL

SCAN OR CLICK THE QR CODE TO JOIN



Join us to learn more about the
San Joaquin Regional Rail Commission's
DBE Goal Methodology, Upcoming
Contract Opportunities, and the
Procurement Platform, PlanetBids.

The San Joaquin Regional Rail Commission encourages
businesses to limit attendance to two (2) individuals.
To RSVP, or if you have questions, please email
civilrights@acerail.com.

*Visitors are encouraged to stay home if they have
a fever or feel that they have symptoms related to
COVID-19 infection, and to contact a health professional
to seek medical advice.

**ATTACHMENT B****SAN JOAQUIN
REGIONAL
RAIL COMMISSION**Chair, **Christina Fugazi**, City of Stockton
Vice Chair, **Leo Zuber**, City of Ripon
Commissioner, **Ben Cantu**, City of Manteca
Commissioner, **Mikey Hothi**, City of LodiExecutive Director, **Stacey Mortensen**Commissioner, **Miguel Villapudua**, San Joaquin County
Commissioner, **Nancy Young**, City of Tracy
Commissioner, **Melissa Hernandez**, Alameda County
Commissioner, **Lily Mei**, City of Fremont**PUBLIC NOTICE
San Joaquin Regional Rail Commission**

NOTICE IS HEREBY GIVEN, that the San Joaquin Regional Rail Commission, (herein referred to as "Rail Commission") has established a Proposed Overall Disadvantage Business Enterprise (DBE) Goal of **4.5%** for Federal Fiscal Years (FFYs) 2023/2024/2025. The Rail Commission proposes to meet 100% of the goal through the use of race-neutral measures 0% through the use of race-conscious measures. The DBE goal is applicable to U.S. Department of Transportation (DOT)-assisted contracts scheduled to be solicited and awarded during the period of October 1, 2022 through September 30, 2025.

The Rail Commission's Proposed Overall Goal and its rationale (developed in response to DOT DBE Program Regulations published under Title 49 CFR Part 26) are available for inspection and public review as of July 1, 2022 for thirty (30) days following the date of this Notice on the Rail Commission's website at <https://www.sjrrc.com/dbe-sbe-and-title-vi/>.

The Rail Commission will accept public comments for thirty (30) days from the date of this Notice, at the following address: *San Joaquin Regional Rail Commission, Attention: Tamika Smith, 949 E Channel St., Stockton, CA 95202* or via email at civilrights@acerail.com.

The public comment period will close on July 31, 2022.

To further support the public participation process, the Rail Commission public outreach meeting with the option to attend in-person and remotely to accept comments from the public. This meeting is designed to facilitate receiving input from the Rail Commission's contracting community, stakeholders, which include minority and women business groups, community organizations, trade associates and other officials or organizations that may have information concerning the availability of disadvantaged businesses and comments on the Rail Commission's proposed DBE overall goal and corresponding methodology.

The Meeting will be held on Wednesday July 20, 2022 at 5:00pm:

In Person: Robert J. Cabral Station – Board Room
949 E. Channel Street
Stockton, CA 95202

Virtual: To access the meeting please utilize the following link: **Microsoft Teams** [Click here to join the meeting](#)

Upon request, materials can be provided in alternate languages, language translation can also be made available. All requests for reasonable accommodations must be made at least three working days (72 hours) in advance of the scheduled meeting date.

Sincerely,

Tamika Smith
Director of Rail Services
DBE Liaison Officer (DBELO)

ATTACHMENT C



Civil Rights

The San Joaquin Regional Rail Commission (SJRRRC) operates its services without regard to race, color, national origin, religion, sex, sexual orientation, marital status, age or disability in accordance with applicable law. Visit one of the below pages to learn more about our various Civil Rights programs.

DBE

SJRRRC has developed a proposed overall (triennial) DBE goal for the three federal fiscal years beginning October 1, 2022, and ending September 30, 2025. The goal has been calculated using a DOT-approved goal-setting methodology, as described in 49 CFR 26.45. The current DBE Goal for 2020 – 2022 and the proposed DBE Goal 2023–2025 are linked below.

- [2023–2025 DBE Goal and Methodology](#)
- [2020–2022 DBE Goal and Methodology](#)

As part of the public participation process, SJRRRC has distributed its goal and methodology to local/ethnic chambers of commerce and other business/community-based organizations dedicated to assisting and growing small and disadvantaged businesses. The DBE goal has been advertised via Public Notice in general circulation publications and on SJRRRC's website, see below:

- [PUBLIC NOTICE – 2023–2025 Methodology](#)



SJRRRC will accept public comments for thirty (30) days from the date of this Notice, at the following address: San Joaquin Regional Rail Commission, Attention: Tamika Smith, 949 E Channel St., Stockton, CA 95202 or via email at dbe@acerail.com.

The public comment period will close on July 31, 2022.

DBE POLICY STATEMENT (§§26.1, 26.23)

The San Joaquin Regional Rail Commission has established a Disadvantaged Business Enterprise (DBE) program in accordance with regulations of the U.S. Department of Transportation (DOT), 49 CFR Part 26. The San Joaquin Regional Rail Commission has received Federal financial assistance from the Department of Transportation, and as a condition of receiving this assistance, the San Joaquin Regional Rail Commission has signed an assurance that it will comply with 49 CFR Part 26.

It is the policy of the San Joaquin Regional Rail Commission to ensure that DBEs, as defined in part 26, have an equal opportunity to receive and participate in DOT-assisted contracts. It is also our policy –

1. To ensure nondiscrimination in the award and administration of DOT assisted contracts;
2. To create a level playing field on which DBEs can compete fairly for DOT assisted contracts;
3. To ensure that the DBE Program is narrowly tailored in accordance with applicable law;
4. To ensure that only firms that fully meet 49 CFR Part 26 eligibility standards are permitted to participate as DBEs; and
5. To help remove barriers to the participation of DBEs in DOT assisted contracts

For additional information on SJRRRC's nondiscrimination obligations, please contact:

San Joaquin Regional Rail Commission
949 E. Channel St.
Stockton, CA 95202
Phone: 1-800-411-RAIL (7245)
Email: dbe@acerail.com



ADA



Title VI



The San Joaquin Regional Rail Commission (SJRRRC)
949 E. Channel St. Stockton, CA 95202

SJRRRC owns and operates ACE and is the managing agency of SJJPA



About
Meetings & Events
Documents
Contact
Media Room
Altamont Corridor Express
Amtrak San Joaquins

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Web site designed and developed by Americaneagle.com



ATTACHMENT D

Will Attach Separately



SAN JOAQUIN
REGIONAL
RAIL COMMISSION



San Joaquin
Joint Powers Authority

DBE OUTREACH EVENT SIGN-IN SHEET

Date: July 20, 2022, 5:00PM PDT

Location: Cabral Station Board Room, 949 E. Channel Street, Stockton, CA 95202

Agency/Company	Name	Contact Email	Be Included in Future Announcements and Receive Handouts
CVACC	LESLIE EDMAN	LESEDMAN@SBCGLOBAL.NET;	<input checked="" type="checkbox"/>
BELL ADVERTISING	GREG BELL	GREGEBELL@ADVERTISING.NET;	<input checked="" type="checkbox"/>
PUBLIC HEALTH ADVOCATES/TRACY CITY	WILLIAM MUETZENBERG (COUNCIL CANDIDATE)	WILLIAM@VOTEWILL.ORG;	<input checked="" type="checkbox"/>
CLOUDKREST	DON MUDALIGE	DON@CLOUDKREST.COM;	<input checked="" type="checkbox"/>
CCME, INC.	BRYAN KRAEGER	BRYAN@CCMEUSA.CO;	<input checked="" type="checkbox"/>
AMBER HOOD BOLT STAFFING	AMBER HOOD	AMBER@BOLTSTAFFING.COM;	<input checked="" type="checkbox"/>
GG'S TRANSPORTATION	MAURICE SAULSBURY	GGSTRANSPORTATION@GMAIL.COM;	<input checked="" type="checkbox"/>
CALIFORNIA SYSTEMS	GANESH	GANESHE@ICALSYS.COM;	<input checked="" type="checkbox"/>
DAZZLIN CLEANING SERVICE LLC	MARESHA SAULSBURY	INFO@DAZZLINCLEANING.COM;	<input checked="" type="checkbox"/>
W-TRANS	ATUL PATEL	APATEL@W-TRANS.COM;	<input checked="" type="checkbox"/>
BUILDING SAFETY SOLUTIONS, INC (BSS)	HECTOR GOMEZ	HGOMEZ@BSSNET.COM;	<input checked="" type="checkbox"/>

Agency/Company	Name	Contact Email	Be Included in Future Announcements and Receive Handouts
IRON HORSE ARCHITECTS	TARA BURKE	TARA.BURKE@IRONHORSE.EMAIL ;	<input checked="" type="checkbox"/>
BARGAS ENVIRONMENTAL CONSULTING	COURTNEY RICHARDS	CRICHARDS@BARGASCONSULTING.COM;	<input checked="" type="checkbox"/>
DQP ENTERPRISES, INC.	TRISH HOLPER	THOLPER@DQPENTERPRISES.CO;	<input type="checkbox"/>
CREATIVENVIRONMENT GROUP LLC	STEPHANIE PARSONS	STEPHANIE@CREATIVENVIRO.COM;	<input checked="" type="checkbox"/>
PIVOTAL ADAPTIVE SERVICES	ROBERT (RJ) RAMIL	RRAMIL@PIVUSA.COM;	<input checked="" type="checkbox"/>
NEXT STEPS MARKETING	MIKE POPALARDO	GOV@NEXTSTEPSPMARKETING.COM;	<input checked="" type="checkbox"/>
CLEARSTORY (SIGNAGE)	LIESEL WALLACE	LIESEL.W@CLEARSTORYSF.COM ; CAN PUT AN X IN THE HANDOUT COLUMN	<input checked="" type="checkbox"/>
BOATENG LOGISTICS	RAPHAEL LITTLE	RLITTLE@BOATENGLOGISTICS.COM ; PLEASE SEND HANDOUTS	<input checked="" type="checkbox"/>
MONTANO SECURITY	EZEQUIEL MONTANO	INFO@MONTANOSECURITY.COM;	<input type="checkbox"/>
AREA WEST ENVIRONMENTAL, INC.	BECKY ROZUMOWICZ-KODSUNTIE	BECKY@AREAWEST.NET;	<input checked="" type="checkbox"/>
VIRGINKAR & ASSOCIATES, INC.	DANIELLE HOLGUIN	HOLGUIN.DANIELLE@VA-INC.COM;	<input checked="" type="checkbox"/>
REGENCY RIGHT OF WAY CONSULTING, LLC.	RHONDA COWAN	ADMINSUPPORT@REGENCYROWCONSULTING.COM;	<input checked="" type="checkbox"/>
CORNERSTONE STUDIOS, INC.	JEFF KIM	JEFF@CSSTUDIOS.COM;	<input type="checkbox"/>
CITY OF TRACY	JOSEPH VIORGE-KOIDE	JOSEPH.VIORGE-KOIDE@CITYOFTRACY.ORG;	<input checked="" type="checkbox"/>
NEO DESIGN CONCEPTS DBA NCIDE	DON PHRAVORACHITH	DON@NCIDE.US;	<input type="checkbox"/>
SELECT POWER SYSTEMS	ROBERT BRYAN	ROBERT.BRYAN@SELECTPOWERSYSTEMS.COM;	<input type="checkbox"/>



SAN JOAQUIN
REGIONAL
RAIL COMMISSION®



San Joaquin
Joint Powers Authority

Agency/Company	Name	Contact Email	Be Included in Future Announcements and Receive Handouts
PROPROSE LLC DBA SAGENT	ANNE STAINES	ANNE@SAGENTMARKETING.COM;	<input checked="" type="checkbox"/>
THUNDER INCORPORATED (DBA: ESCOBAR CONSTRUCTION)	JULIO RAMIREZ	JULIO@ESCOBARCO.COM;	<input checked="" type="checkbox"/>
TMM ENTERPRISES GROUP, INC	TERRENCE PAYNE	INFO@@TMMINFO.BIZ;	<input type="checkbox"/>
RUNO INC	DANIEL CHOI	DCHOI@RUNOINC.COM;	<input checked="" type="checkbox"/>
TMM ENTERPRISES GROUP, INC	CHRIS BUCHANAN	CBUCHANAN@TMMINFO.BIZ;	<input checked="" type="checkbox"/>
WILDSCAPE ENGINEERING, INC.	CAROL BEAHAN	CAROL@WILDSCAPE-ENGINEERING.COM;	<input checked="" type="checkbox"/>
LA ROZA CONSTRUCTION, INC.	KELLY KLEIN	KELLY@LAROZACONSTRUCTION.COM;	<input checked="" type="checkbox"/>
TERRAVANTA INC	ILLARY ARCHILLA	ILLARY@TERRAVANTA.COM;	<input checked="" type="checkbox"/>
CEO SJC HISPANIC CHAMBER	LISA VELA		<input type="checkbox"/>
1CYBER SECURITY RESOURCES	NUPUR		<input type="checkbox"/>
KKCS RAIL	DAVID MCCROSSAN	DAVID.MCCROSSAN@KKCSWORLD.COM;	<input type="checkbox"/>
INTERCEPT SECURITY	ANDREA		<input type="checkbox"/>
GROUPSYNC SOLUTIONS	PHIL WILLIAMS	PHIL.WILLIAMSSR@365.COM;	<input type="checkbox"/>
PROSIO COMMUNICATIONS	COURTNEY MOORE		<input type="checkbox"/>
KNS INDUSTRIAL SUPPLY	GENE HAAS	GENE@KNSINDUSTRIALSUPPLY.COM;	<input checked="" type="checkbox"/>



SAN JOAQUIN
REGIONAL
RAIL COMMISSION®



San Joaquin
Joint Powers Authority

Agency/Company	Name	Contact Email	Be Included in Future Announcements and Receive Handouts
REGENCY RIGHT OF WAY CONSULTING, INC.	RHONDA COWAN	ADMINSUPPORT@REGENCYROWCONSULTING.COM;	<input checked="" type="checkbox"/>
TDH ASSOCIATES INTL.	TROY HIGHTOWER		<input type="checkbox"/>
VOBECKY ENTERPRISES	BIANCA VOBECY	BIANCA@VOBECKY.COM;	<input checked="" type="checkbox"/>
	JULIE MYRAH	JMYRAHENVIRONMENTAL@GMAIL.COM;	<input checked="" type="checkbox"/>
J&S BUILDING MAINTENANCE	AIME PRADO	APRADO@JSBMINC.COM;	<input checked="" type="checkbox"/>
THE WORK FORCE PRO	DANIELLE STRICKLAND	danielle@theworkforcepro.com;	<input checked="" type="checkbox"/>



DBE

July 20, 2022

The event will start shortly after all in-person attendees have been checked-in.

Thank you for your patience.



Agenda

- SJRRC Staff Introductions (All)
- Welcome/Agency Background (Tamika Smith)
- DBE Goal & Methodology (Autumn Gowan)
- Register as a DBE (Autumn Gowan)
- Contract Opportunities (Terry Castellanos)
- SJRRC Vendor Portal (Terry Castellanos)
- Questions and Answers (Attendees and SJRRC)

SJRRC Staff Introductions

- SJRRC Executives
 - Nila Cordova, Director of Administration
 - Tamika Smith, Director of Rail Services/DBE Liaison Officer

- SJRRC Procurement
 - Autumn Gowan, Procurement and Contracts Supervisor/DBE Manager
 - Terry Castellanos, Procurement and Contracts Assistant
 - Juanay Moreno, Procurement and Contracts Admin. Assistant

- SJRRC Administration
 - Megan Bennett, Administration Assistant

- SJRRC Marketing
 - Rene Gutierrez, Community Outreach Coordinator
 - Freddy Rodriguez, Marketing Coordinator



Agency Background



San Joaquin Regional Rail Commission (SJRRC)

- SJRRC is the governing body of the Altamont Corridor Express (ACE), one of California's popular commuter rail services that links the Central Valley with the Silicon Valley.
- Union Pacific Railroad owns the majority of track, with a short segment owned by Caltrain Joint Powers Board near San Jose.
- SJRRC is responsible for planning, developing, and managing passenger rail, connecting shuttles, stations, maintenance, parking, and rolling stock procurement.



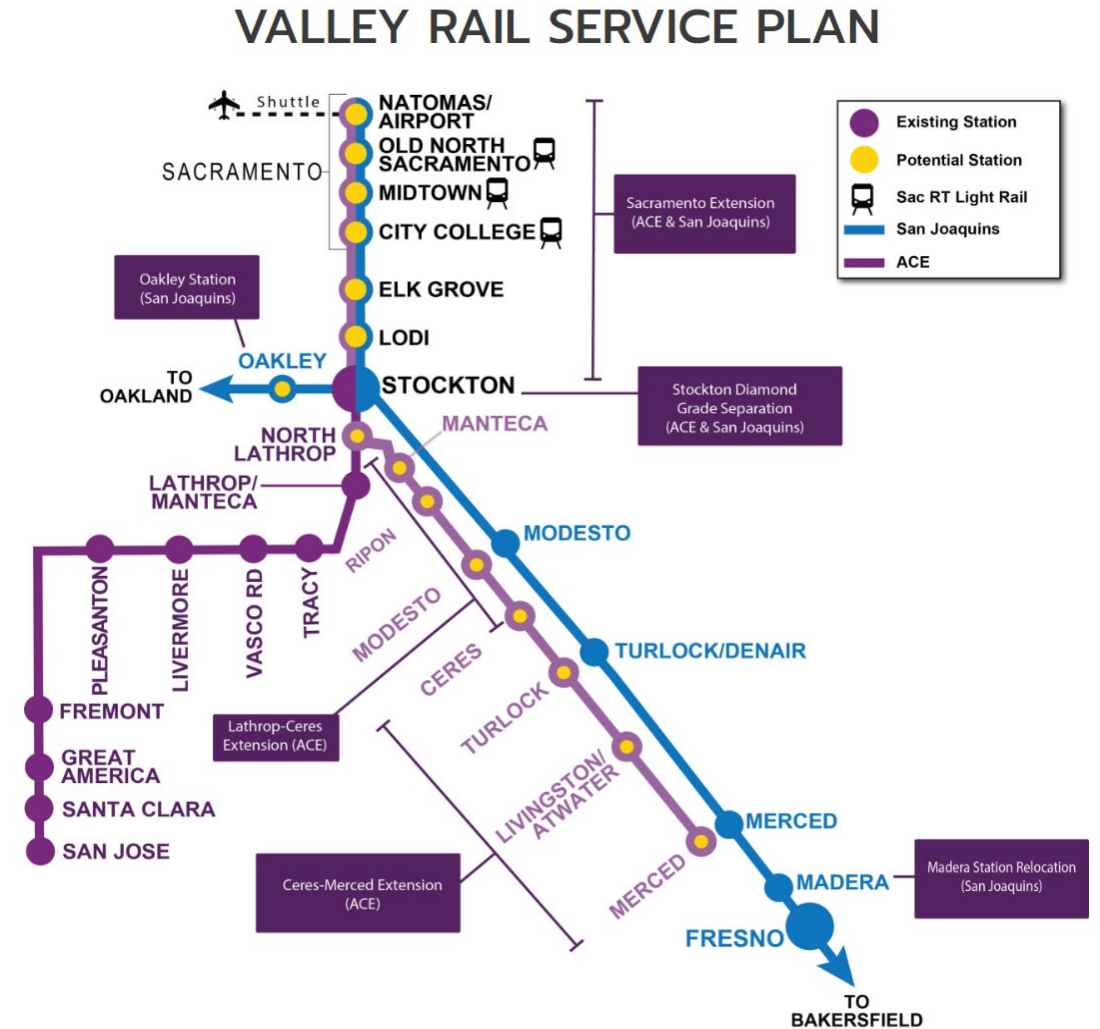
San Joaquin Joint Powers Authority (SJJPA)

- SJRRC was selected in July 2013 to serve as the Managing Agency for the newly formed SJJPA.
- SJJPA assumed the responsibility of day-to-day management, planning, funding, and support services related to the San Joaquin's Intercity Rail Service.
- As the Managing Agency, SJRRC provides staffing, consultants, and other services to support SJJPA. SJJPA Governing Board consists of 10 Member Agencies within the Central Valley and Bay Area.



Valley Rail Service Plan

- Valley Rail implements two new daily round-trips for the Amtrak San Joaquins service to better connect San Joaquin Valley travelers with the Sacramento Area, as well as an extension of Altamont Corridor Express (ACE) between Sacramento and Merced, which builds upon ACE funding from Senate Bill (SB) 132.
- Valley Rail improves geographic equity by connecting key locations in the Central Valley including Sacramento, San Joaquin, Stanislaus, Merced, Madera, and Fresno Counties to each other and beyond to the Bay Area and the greater California rail network





DBE Goal & Methodology



Methodology - Introduction

- The San Joaquin Regional Rail Commission (“Rail Commission”) has completed its analysis for the annual overall Disadvantaged Business Enterprise (“DBE”) goal applicable to the Rail Commission’s contracting opportunities funded in whole or in part by the Federal Transit Administration (“FTA”) in Federal Fiscal Year (“FFY”) 2023-2025.
- The annual overall DBE goal represents the percentage of DBE firms who are ready, willing, and able to participate in the Rail Commission’s FTA-assisted contracting opportunities each fiscal year. The goal is expressed as a percentage of all FTA funds the Rail Commission anticipates expending in the fiscal year.
- Based upon the analysis, the Rail Commission proposes an **annual overall DBE race-neutral goal of 4.5% for FFY 2023-2025 for FTA-assisted contracts.**

Methodology - Process

The Rail Commission has chosen the following methodology to establish the annual overall goal in accordance with the Department of Transportation (“DOT”) federal regulations:

STEP 1. The Rail Commission determined a base figure for the relative availability of DBEs in the specific areas by using the Caltrans Unified Certification Program (“CUCP”) database of DBE’s, the most recent national U.S. Census Bureau’s County Business Pattern database for the State of California (“CBP”) and then weighting each area of expertise according to the amount of FTA assistance that is projected to be spent in the area.

STEP 2. The Rail Commission examined other evidence regarding DBE availability for the Rail Commission’s geographic and contracting market, past participation, and contracting opportunities to determine if any adjustments to the base figure were needed in order to arrive at a narrowly tailored annual overall goal.

FTA Assisted Contracting Opportunities

The Rail Commission has identified and analyzed the FTA-assisted contracting opportunities for FFY 2023-2025 by federal dollar amounts and the North American Industry Classification Codes (NAICS) for the relevant types of work:

FTA Assisted Capital Projects	Est. Project Cost – Federal Portion Only
1. Capital Lease Program	\$9,727,548
2. Locomotive Purchase - Options	\$7,500,000
3. The Rail Academy of Central California (TRACC)	\$1,600,000
4. Manteca Station	\$1,516,000
5. Positive Train Control	\$1,681,304
6. Preventative Maintenance – including Capital Spares	\$15,000,000
7. Railcar Midlife Overhaul	\$15,000,000
8. Capitalized Maintenance	\$12,000,000
9. Robert J. Cabral Station Expansion	\$4,000,000
10. Track Extension from Cabral to RMF	\$2,600,000
11. Tracy ACE Station Improvements	\$1,343,750
TOTAL	\$71,968,602

Allocated FTA Dollars by NAICS Code

- During Federal Fiscal Year 2023-2025, the Rail Commission anticipates having an estimated \$71,968,602 million in FTA funds available for expenditure.
- Of the total amount of FTA assistance available, the Rail Commission anticipates awarding \$11,653,750, or 16.20% (see Table 1) for the three projects outlined above in FFY 2023-2025.

Table 1			
NAICS Code	Industry Title	Total Estimated Cost (Federal Share)	% of Federal Funding
23	Construction	\$9,290,189	12.91%
33	Manufacturing	\$594,000	.83%
42	Wholesale Trade	\$331,793	.46%
48 - 49	Transportation and Warehousing	\$221,195	.31%
53	Real Estate Rental and Leasing	\$110,598	.15%
54	Professional, Scientific, and Technical Services	\$1,105,975	1.54%
	Total Allocated FTA Dollars by NAICS Code	\$11,653,750	
	Total FTA Funds	\$71,968,602	
	% of Allocated FTA Funds		16.20%

Weighted Percentage

- The Rail Commission adjusted the amount of FTA-assisted contracting opportunities available to DBEs based on the percentage of FTA funds projected to be used for specific NAICS categories, including Civil and Heavy Construction, Transportation and Warehousing, and Special Services, in completing the Manteca Station, Robert J. Cabral Station Expansion, Track Extension from Cabral to RMF, Tracy ACE Station Improvements projects.
- Table 3 on the next two slides provide the breakdown.

Table 3

DBE Availability by % of FTA Dollars

NAICS	Description	DBE	CBP	Availability	% of Total FTA Dollars	Weighted DBE %
23	Construction					
236210	Industrial Building Construction	131	335	39%		
236220	Commercial & Institutional Building Construction	255	4281	6%		
237110	Water & Sewer Line & Related Structures Construction	156	843	19%		
237120	Oil & Gas Pipeline & Related Structures Construction	29	104	28%		
237130	Power & Communication Line & Related Structures Construction	60	474	13%		
237210	Land Subdivision	25	603	4%		
237310	Highway, Street, & Bridge Construction	339	759	45%		
237990	Other Heavy & Civil Engineering Construction	206	336	61%		
238110	Poured Concrete Foundation & Structure Contractors	169	1926	9%		
238120	Structural Steel & Precast Concrete Contractors	102	482	21%		
238130	Framing Contractors	39	901	4%		
238140	Masonry Contractors	37	1303	3%		
238150	Glass & Glazing Contractors	18	878	2%		
238160	Roofing Contractors	18	2517	1%		
238170	Siding Contractors	7	276	3%		
238190	Other Foundation, Structure & Building Exterior Contractors	74	691	11%		
238210	Electrical Contractors & Other Wiring Installation Contractors	207	9233	2%		
238220	Plumbing, Heating, & Air-Conditioning Contractors	69	10716	1%		
238290	Other Building Equipment Contractors	27	737	4%		
238310	Drywall & Insulation Contractors	40	2274	2%		
238320	Painting & Wall Covering Contractors	63	4561	1%		
238330	Flooring Contractors	16	2053	1%		
238340	Tile & Terrazzo Contractors	18	1672	1%		
238350	Finish Carpentry Contractors	36	2779	1%		
238390	Other Building Finishing Contractors	31	908	3%		
238910	Site Preparation Contractors	217	2623	8%		
238990	All Other Specialty Trade Contractors	266	3284	8%		
		2655	57549	5%	80%	3.70%

Table 3

DBE Availability by % of FTA Dollars

NAICS	Description	DBE	CBP	Availability	% of Total FTA Dollars	Weighted DBE %
33	Manufacturing					
339950	Sign Manufacturing	21	577	4%		
		21	577	4%	5%	0.18%
42	Wholesale Trade					
423320	Brick, Stone, & Related Construction Material Merchant Wholesalers	47	328	14%		
423390	Other Construction Material Merchant Wholesalers	32	410	8%		
423510	Metal Service Centers & Other Metal Merchant Wholesalers	30	1043	3%		
423610	Electrical Apparatus and Equipment, Wiring Supplies, and Related Equipment Merchant Wholesalers	75	1831	4%		
424950	Paint, Varnish, & Supplies Merchant Wholesalers	8	221	4%		
		213	4410	5%	3%	0.15%
48-49	Transportation and Warehousing					
488210	Support Activities for Rail Transportation	20	6127	0%		
484110	General Freight Trucking, Local	232	4655	5%		
484121	General Freight Trucking Long-Distance Truckload	53	669	8%		
484122	General Freight Trucking Long-Distance Less than Truckload	22	101	22%		
493190	Other Warehousing & Storage	1	301	0%		
		328	11853	3%	2%	0.05%
53	Real Estate Rental and Leasing					
532490	Other Commercial & Industrial Machinery & Requirement Rental & Leasing	21	1434	1%		
		21	1434	1%	1%	0.15%
54	Professional, Scientific, and Technical Services					
541310	Architectural Services	120	3527	3%		
541330	Engineering Services	564	8893	6%		
541620	Environmental Consulting	435	1572	28%		
		1119	13992	8%	9%	0.07%
	Totals	4336	89238	5%	100%	4.93%

Methodology - Summary

- Taking all the information into consideration and weighing heavily on the DOT assisted contract opportunities anticipated to be available in this triennial period, Rail Commission proposes **to use the weighted DBE Availability figure and set an annual overall DBE goal of 4.5% for FFY 2023-2025 for FTA-assisted contracts.**
- Because of the emphasis placed on race-neutral methods and the types of contracting opportunities, Rail Commission proposes that the FFY 2023-2025 goal will be met by race-neutral measures.



Register as a DBE



DBE Registration Process

- Caltrans DBE System Website
- <https://caltrans.dbesystem.com/>
- Under 'Vendor Certification' choose 'Apply for/Renew Certification'.



DBE Registration Process cont.

- Under 'New Certification' choose 'Create Account'.


Online Certification

Welcome!

Please login or create an account to apply for certification, and/or provide annual updates and renewal information to us.

You may already have an account due to working relationships with other agencies. Therefore, prior to creating a new account, it is always best to Lookup Account to ensure you are not currently in the system.

New Certification

 Your firm is not currently certified.
[Create Account](#)

I Forgot My Username & Password
[Lookup Account](#)

Renew Your Certification

I Know My Username & Password
[Login](#)

I Forgot My Username & Password
[Lookup Account](#)

After logging in to your account, you will be directed to the application form. You can also click the Apply for Certification link on the right side of the "Dashboard." If you require technical assistance while completing the application, please use our [online support form](#).

Need Help?

- [Download the user manual](#)
- [Sign up for a Training Class](#)

DBE Registration Process cont.

- All mandatory fields are denoted by a red asterisk.
- Once account is created, you will automatically be directed to the application form.

* required entry

Section 1: Business Lookup

TAX ID NUMBER *

Enter your firm's Tax ID Number and click **Lookup** to check if an account already exists.

Lookup

9 digit Federal Tax ID; firms are strongly encouraged not to use SSN as the tax ID. Tax ID Numbers can be easily [obtained from the IRS](#) at no charge.

Section 2: Business Information

BUSINESS NAME *

DBA NAME

COMPANY TYPE *

None selected ▼

COMPANY ETHNICITY

None selected ▼

COMPANY GENDER

None selected ▼

Section 3: Business Contact Information

MAIN COMPANY EMAIL *

MAIN PHONE *

MAIN FAX

COMPANY WEBSITE



Contract Opportunities



Current Projects

- RFP 23-R-13-00 Consulting Services for Near Zero Emission Program
 - Proposal Deadline July 25, 2022, 2:00PM PDT
- Professional Services for the Newark/Albrae Siding Connection Project
 - Proposal Deadline August 12, 2022, 2:00PM PDT

Upcoming Projects

Summer 2022 (On-going Projects)

- Janitorial Services
- Crew Resting Facility Services
- Payroll Services

Capital Projects

Services Needed

Summer/Fall 2022

Elk Grove Station	PS&E
SJ Street Station Layover & Parking Lot	PA&ED; PS&E; ROW; CM; PM
Sac Sub Curve Modifications	PS&E; CM; PM
Elk Grove 2 nd Main Track (Rail Track Improvements)	PA&ED; PS&E; CM; PM
Cabral Station Expansion	Construction
Tracy Parking Lot Modifications	Construction

Fall/Winter 2022

Lathrop Wye	CM
-------------	----

Winter 2022/Spring 2023

Lathrop Wye	Construction
-------------	--------------

Plan Specifications, and Estimates (PS&E); Project Approval & Environmental Document (PA&ED); Right of Way (ROW); Construction Management (CM); Project Management (PM)

On-going Projects

- Copiers Equipment Lease and Support Services
- Landscaping Services
- Website Design, Hosting & Maintenance Services
- Fire Alarm & Sprinkler Monitoring and Maintenance Services
- Marketing & Outreach Services
- Information Technology Services
- HVAC & Water Treatment Services
- Cloud Hosted Voice Over Internet Protocol (VoIP) Phone System
- Printing Services
- Pest Control Services
- Mat Services
- Cooler Rental and Water Delivery Services
- Many more!



SJRRC Vendor Portal

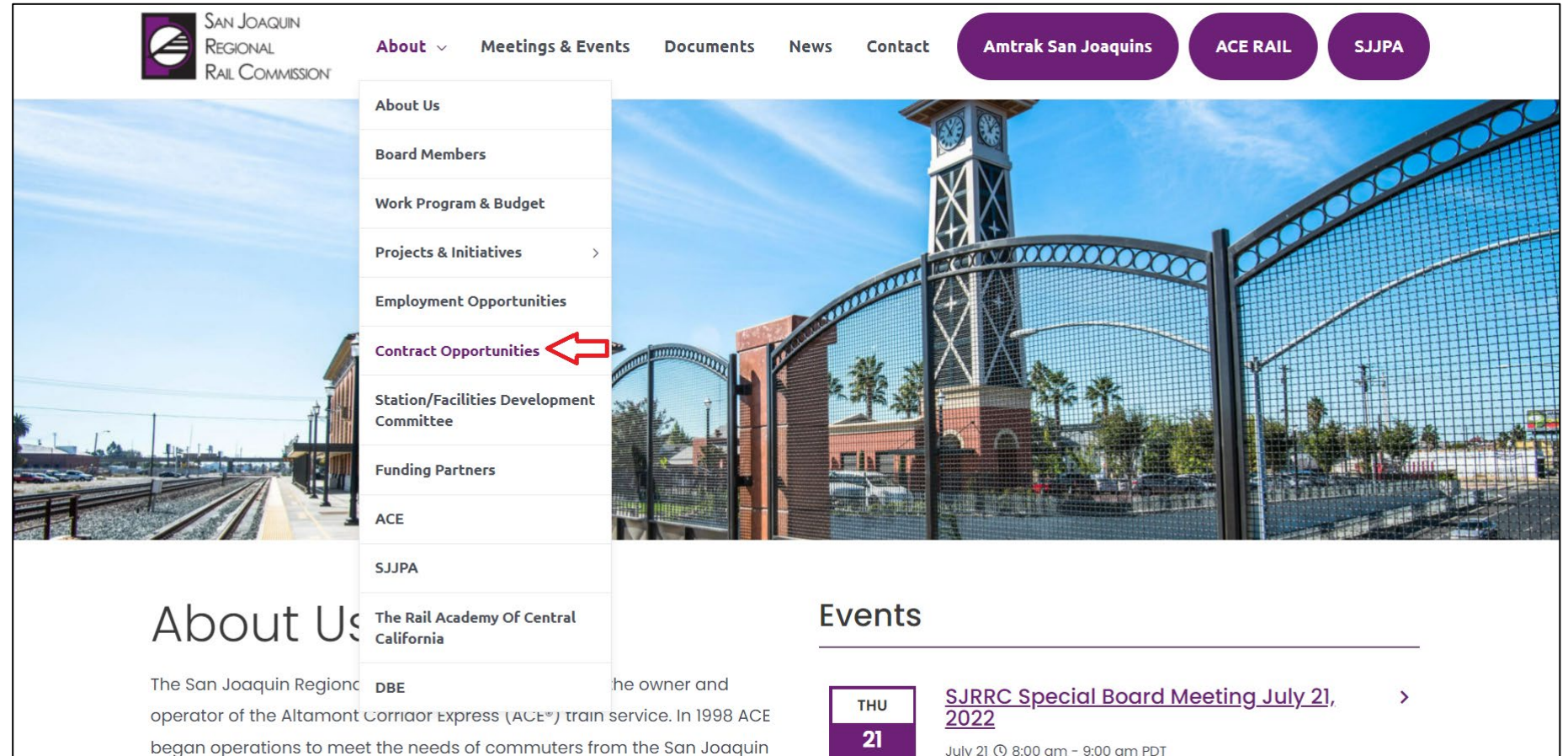


Electronic Submission

- SJRRC Conducts ALL solicitation through PlanetBids
 - Maintain your own profile as a new or existing vendor (multiple profiles);
 - Receive automatic e-mail notifications for selected bid opportunities based on product/service categories;
 - Search for all types of requests, addenda, plan holders, bid results, and awards at any time;
 - Request and download bid/proposal document(s) when available;
 - Receive automatic addendum notification as a bid participant;
 - Submit electronic bids/proposals, if applicable, allowing bid/proposal responses to be securely submitted on-line;
 - Most up-to-date solicitation information/documents;
 - It's free!

PlanetBids Registration Process

➤ Visit www.sjrrc.com



The screenshot displays the San Joaquin Regional Rail Commission website. The header includes the logo and navigation links: About, Meetings & Events, Documents, News, Contact, Amtrak San Joaquins, ACE RAIL, and SJJPA. The 'About' dropdown menu is open, with 'Contract Opportunities' highlighted by a red arrow. The main content area features a large image of a train station and a clock tower. Below the image, there is an 'About Us' section and an 'Events' section. The 'Events' section lists a 'SJRRRC Special Board Meeting July 21, 2022' on Thursday, July 21, from 8:00 am to 9:00 am PDT.

San JOAQUIN REGIONAL RAIL COMMISSION

About ▾ Meetings & Events Documents News Contact Amtrak San Joaquins ACE RAIL SJJPA

- About Us
- Board Members
- Work Program & Budget
- Projects & Initiatives >
- Employment Opportunities
- Contract Opportunities** ←
- Station/Facilities Development Committee
- Funding Partners
- ACE
- SJJPA
- The Rail Academy Of Central California
- DBE

About Us

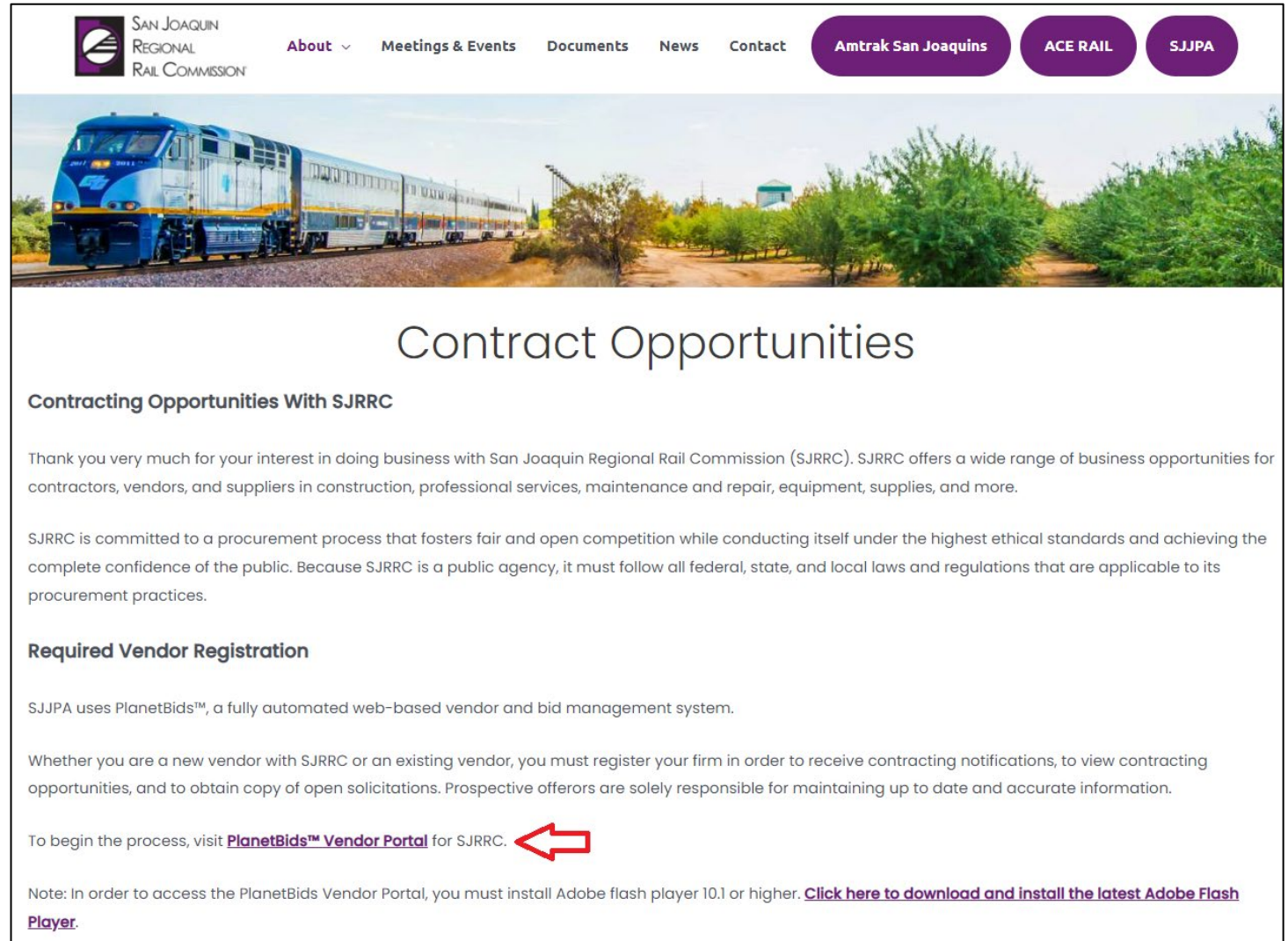
The San Joaquin Regional Rail Commission is the owner and operator of the Altamont Corridor Express (ACE®) train service. In 1998 ACE began operations to meet the needs of commuters from the San Joaquin

Events

THU 21 **SJRRRC Special Board Meeting July 21, 2022** >
July 21 🕒 8:00 am - 9:00 am PDT

PlanetBids Registration Process

- Vendor Portal
- <https://pbsystem.planetbids.com/portal/39501/portal-home>



The screenshot shows the San Joaquin Regional Rail Commission website. At the top, there is a navigation bar with links for 'About', 'Meetings & Events', 'Documents', 'News', and 'Contact'. To the right of these links are three purple buttons labeled 'Amtrak San Joaquins', 'ACE RAIL', and 'SJJPA'. Below the navigation bar is a large banner image of a blue and yellow train traveling through a landscape with green trees. Underneath the banner, the heading 'Contract Opportunities' is displayed in a large, dark font. Below this heading, the subheading 'Contracting Opportunities With SJRRC' is shown. The main text block follows, starting with a thank you message and describing the range of business opportunities available. It then states the commission's commitment to a fair procurement process. The section 'Required Vendor Registration' follows, explaining that the commission uses PlanetBids™ and that vendors must register to receive notifications and view opportunities. A red arrow points to the text 'visit PlanetBids™ Vendor Portal for SJRRC.' Below this, a note mentions the requirement to install Adobe Flash Player 10.1 or higher, with a link to download and install the latest version.

Contract Opportunities

Contracting Opportunities With SJRRC


Thank you very much for your interest in doing business with San Joaquin Regional Rail Commission (SJRRRC). SJRRRC offers a wide range of business opportunities for contractors, vendors, and suppliers in construction, professional services, maintenance and repair, equipment, supplies, and more.

SJRRRC is committed to a procurement process that fosters fair and open competition while conducting itself under the highest ethical standards and achieving the complete confidence of the public. Because SJRRRC is a public agency, it must follow all federal, state, and local laws and regulations that are applicable to its procurement practices.

Required Vendor Registration

SJJPA uses PlanetBids™, a fully automated web-based vendor and bid management system.

Whether you are a new vendor with SJRRRC or an existing vendor, you must register your firm in order to receive contracting notifications, to view contracting opportunities, and to obtain copy of open solicitations. Prospective offerors are solely responsible for maintaining up to date and accurate information.

To begin the process, visit [PlanetBids™ Vendor Portal](#) for SJRRC. 

Note: In order to access the PlanetBids Vendor Portal, you must install Adobe flash player 10.1 or higher. [Click here to download and install the latest Adobe Flash Player.](#)

PlanetBids Registration Process

- Click on New Vendor Registration and complete the 3 fields:
 - Company Name
 - FEI/SSN Number
 - Email

The screenshot shows the PlanetBids Vendor Portal interface. At the top, there is a header with the PlanetBids logo, a globe icon, a headset icon, and a 'LOG IN' button. The main title is 'San Joaquin Regional Rail Commission & SJJPA' with the subtitle 'Vendor Portal'. Below this, there are six main sections arranged in a 3x2 grid:

- NEW VENDOR REGISTRATION**: Create a new vendor record. If you wish to view or edit an existing vendor, simply Log In.
- BID OPPORTUNITIES**: Search and bid electronically on opportunities with this agency, download documents, and become a prospective bidder.
- MY CONTRACTS** (LOGIN REQUIRED): Manage your awarded contracts, record payments, add subcontractors, produce reports and charts.
- CERTIFIED VENDORS** (LOGIN REQUIRED): Find Certified Vendors.
- CONTRACTS** (LOGIN REQUIRED): View public information regarding agency contracts.
- MY INSURANCE** (LOGIN REQUIRED): View and fulfill insurance requests / requirements.

At the bottom, there is a copyright notice: 'Copyright © 2022 PlanetBids Inc.' and links for 'Dark Mode', 'Privacy Policy', and 'Terms & Conditions'.



PlanetBids Registration Process

- Check your email and select verify to complete the vendor registration form.
 - It will take you to the Vendor Registration
 - Password
 - Business Info
 - Classifications: DBE (DBE Number and Expiration Date)
 - Categories
 - Terms & Conditions
 - Submit
- You will automatically receive bid alert emails based on your category code selections.

PlanetBids Bid Opportunities

➤ Search and click on the bid/proposal to open.

PLANETBIDS San Joaquin Regional Rail Commission & SJJPA

NEWS   [LOG IN](#)

Bid Opportunities

Found 45 bids

Posted	Project Title	Invitation #	Due Date	Remaining	Stage	Format
06/24/20	Consulting Services for Near Zero Emi...	23-R-13-00	07/25/2022 02:00pr	7 days	Bidding	Electronic
07/12/20	Professional Services for the Newark/...	23-R-14-00	08/12/2022 02:00pr	25 days	Bidding	Electronic
05/26/20	CEQA/NEPA & Preliminary Engineerin...	23-J-12-00	06/27/2022 05:00pr		Closed	Electronic
04/08/20	Technical Assistance for New Ticketin...	23-R-11-00	06/01/2022 05:00pr		Closed	Electronic
04/12/20	Overhead Crane Inspection & Mainten...	23-R-06-00	05/12/2022 05:00pr		Closed	Electronic
04/12/20	Track Inspection and Maintenance Se...	23-R-09-00	05/12/2022 02:00pr		Closed	Electronic

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PlanetBids Navigate

- Navigate through the different tabs to read the information listed, RSVP to pre-bid meetings, download documents, export line items, ask questions, acknowledge addenda, edit our prospective bidder information and more.

PLANETBIDS San Joaquin Regional Rail Commission & SJJPA

NEWS [LOG IN](#)

[◀ Back to Bid Search](#)

Professional Services for the Newark/Albrae Siding Connection Project 2... REMAINING 25 days

[Bid Information](#) [Documents](#) [Addenda/Emails](#) [Q&A](#) [Prospective Bidders](#) [Bid Results](#) [Awards](#)

Bid Detail

Project Title	Professional Services for the Newark/Albrae Siding Connection Project
Invitation #	23-R-14-00
Bid Posting Date	07/12/2022 5:05 PM (PDT)
Project Stage	Bidding
Bid Due Date	08/12/2022 2:00 PM (PDT)
Response Format	Electronic
Project Type	RFP (Request for Proposal)
Response Types	Cost File Response File Attachment R - Subconsultant Listing Form (required)

[Place eBid](#)

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PlanetBids Navigate

- To submit a bid/proposal or save it as a draft, select Place eBid at the bottom right corner. System will do validations to ensure you have completed all mandatory steps.

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PlanetBids Navigate

- Submitted bids must be fully transferred from your server to PB before the bid closes. No late bids/proposals will be accepted. You may return to edit a bid/proposal if there is still time.

 San Joaquin Regional Rail Commission & SJJPA

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Professional Services for the Newark/Albrae Siding Connection Project 2...

REMAINING
25 days

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Place eBid

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PlanetBids FAQ/Support

- Select the headset for FAQ's & Support.
- Call Support at (818) 992-1771

[LOG IN](#)


San Joaquin Regional Rail Commission & SJJPA

Vendor Portal




NEW VENDOR REGISTRATION

Create a new vendor record.
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BID OPPORTUNITIES


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MY CONTRACTS

LOGIN REQUIRED


Manage your awarded contracts, record payments, add subcontractors, produce reports and charts.



CERTIFIED VENDORS

LOGIN REQUIRED


Find Certified Vendors



CONTRACTS

LOGIN REQUIRED

View public information regarding agency contracts.



MY INSURANCE

LOGIN REQUIRED

View and fulfill insurance requests / requirements.

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Questions & Answers



Have a question?

➤ **Emailed Questions**

- Questions received prior to this event will be read out loud.

➤ **In-person Attendees**

- Please raise your hand.

➤ **Virtual Attendees**

- Please raise your hand.
- Type your question in the chat box.

Links to Referenced Documents

- [SJRRRC'S DBE METHODOLOGY](#)
- [PLANETBIDS REGISTRATION](#)
- [CONTRACT OPPORTUNITIES](#)
- [DBE ONLINE APPLICATION](#)
- [DOT DBE APPLICATION](#)

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